

## A REMARK ON A MAXIMUM PRINCIPLE

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ABSTRACT. We review the maximum principle in our CAG 2013 paper, and correct some inaccuracies in the proof.

**Theorem 0.1.** *Let  $u(x, t)$  be a smooth solution of  $\frac{\partial u}{\partial t} = \Delta u + |u|^p$  with  $p > 1$  on  $\mathbb{R}^n \times [0, T]$  with  $u(x, 0) \geq 0$ . Then  $u(x, t) \geq 0$  for any  $(x, t) \in \mathbb{R}^n \times [0, T]$*

The proof of above relies on the choice of the following cut-off function. For fixed  $p > 1$ ,  $\varphi$  is a fixed smooth cut-off non-increasing function such that  $\varphi = 1$  on  $(-\infty, 1]$  and  $\varphi = 0$  on  $[2, +\infty)$ . and there exists  $C > 0$ ,

$$(1) \quad -C < \varphi' \leq 0, \quad \frac{|\varphi'|}{\varphi^{\frac{3-p}{2}}} + \frac{|\varphi''|}{\varphi^{2-p}} \leq C.$$

**Theorem 0.2** (Yang-Zheng). *Let  $g(t)$  be a complete solution of the Kähler-Ricci flow on  $\mathbb{C}^n$  with  $U(n)$ -symmetry for  $t \in [0, T]$ . If the Riemannian sectional curvature of the initial metric  $g(0)$  is nonnegative, so is that of  $g(t)$  for any  $t \in (0, T]$ .*

*Proof explained.* Note that one can assume  $A, B, C > 0$  everywhere on  $\mathbb{C}^n \times [0, T]$ . Suppose there is a point  $(z_0, t_0)$  where  $0 < t_0 \leq T$  where the sectional curvature is negative along some real 2-plane, then  $D(z_0, t_0) = AC - B^2 < 0$ . By picking  $r_0 > 0$  small enough we may assume that  $Ric(z, t) \leq \frac{n-1}{r_0^2}$  for any  $z \in B_{t_0}(z_0, r_0)$  where  $B_{t_0}(z_0, r_0)$  is with respect to  $g(t_0)$ .

$$(2) \quad \begin{aligned} & \left(\frac{\partial}{\partial t} - \Delta\right)(AC - B^2) \\ &= \left[\left(\frac{\partial}{\partial t} - \Delta\right)A\right]C + \left[\left(\frac{\partial}{\partial t} - \Delta\right)C\right]A - 2B\left[\left(\frac{\partial}{\partial t} - \Delta\right)B\right] \\ & \quad - 2\nabla A \cdot \nabla C + 2|\nabla B|^2 \\ &= A^2C + (n-2)B^2C + \frac{n}{2}C^2A + 2B^3 - 2\nabla A \cdot \nabla C + 2|\nabla B|^2. \end{aligned}$$

Let  $\varphi$  is a fixed smooth cut-off non-increasing function such that  $\varphi = 1$  on  $(-\infty, 1]$  and  $\varphi = 0$  on  $[2, +\infty)$ . Moreover,

$$(3) \quad -4 < \varphi' \leq 0, \quad \frac{|\varphi''|}{\varphi^{\frac{1}{2}}} + \frac{(\varphi')^2}{\varphi^{\frac{3}{2}}} \leq 128.$$

Define  $u(z, t) \doteq \varphi\left(\frac{d_t(z, z_0)}{ar_0}\right)D(z, t)$ , where  $a > 0$  will be a sufficiently large number.

$$(4) \quad \begin{aligned} & \left(\frac{\partial}{\partial t} - \Delta\right)u \\ &= \varphi' \frac{1}{ar_0} \left[\left(\frac{\partial}{\partial t} - \Delta\right)d_t\right]D + \varphi \left[\left(\frac{\partial}{\partial t} - \Delta\right)D\right] - 2\nabla \varphi \cdot \nabla D - \varphi'' \frac{D}{(ar_0)^2} \end{aligned}$$

Denote  $u_{min}(t) = \min_{z \in \mathbb{C}^n} u(z, t)$ , so  $u_{min}(t_0) \leq u(z_0, t_0) < 0$ . Assume that there exists  $(z_1, t_1)$  such that  $u(z_1, t_1) = \min_{t \in [0, T]} u_{min}(t) < 0$ . Now we compute the right hand side of (4) at the space-time point  $(z_1, t_1)$ . For simplicity, let us call it  $Q(z_1, t_1)$ .

First of all, Lemma 8.3 from Perelman implies:

$$(5) \quad \left(\frac{\partial}{\partial t} - \Delta\right) d_{t_1}(z, z_0) \geq -\frac{5(n-1)}{3r_0},$$

whenever  $d_{t_1}(z, z_0) > r_0$ .

The definition of  $(z_1, t_1)$  implies  $\nabla u(z_1, t_1) = 0$ . Therefore  $\nabla D = -\frac{\nabla \varphi}{\varphi} D$  and  $\nabla A = \frac{1}{C}(\nabla D + 2B\nabla B - A\nabla C)$ .

It follows from the  $F(x)$  function characterization of  $U(n)$ -invariant Kähler metric and a straightforward calculation that

$$(6) \quad \nabla_s B = \frac{2x}{v}(A - 2B), \quad \nabla_s C = \frac{2x}{v}(2B - C).$$

$$(7) \quad \begin{aligned} & Q(x_1, t_1) \\ & \geq \varphi \left\{ A^2 C + (n-2)B^2 C + \frac{n}{2}C^2 A + 2B^3 - 2\nabla A \cdot \nabla C + 2|\nabla B|^2 \right\} \\ & \quad - \frac{10(n-1)\varphi'}{3ar_0^2} D + \frac{2(\varphi')^2}{(ar_0)^2} D - \frac{\varphi'' D}{(ar_0)^2} \\ & = \varphi \left[ A^2 C + (n-2)B^2 C + \frac{n}{2}C^2 A + 2B^3 \right] \\ & \quad + \varphi \left[ -\frac{2}{C} \nabla D \cdot \nabla C - \frac{4B}{C} \nabla B \cdot \nabla C + \frac{2A}{C} |\nabla C|^2 + 2|\nabla B|^2 \right] \\ & \quad - \frac{10(n-1)\varphi'}{3ar_0^2} D + \frac{2(\varphi')^2}{(ar_0)^2} D - \frac{\varphi'' D}{(ar_0)^2} \\ & \geq \varphi \left[ A^2 C + (n-2)B^2 C + \frac{n}{2}C^2 A + 2B^3 \right] \\ & \quad + \varphi \frac{4x^2}{v^2} \frac{2}{C} \left[ A^2 C + AC^2 + 8B^3 - 6ABC \right] \\ & \quad - \varphi' \frac{1}{ar_0} \frac{2x}{Cv} |2B - C| D - \frac{10(n-1)\varphi'}{3ar_0^2} D + \frac{2(\varphi')^2}{(ar_0)^2} D - \frac{\varphi'' D}{(ar_0)^2} \end{aligned}$$

Note that at the point  $(z_1, t_1)$ ,

$$(8) \quad A^2 C + (n-2)B^2 C + \frac{n}{2}C^2 A + 2B^3 \geq [B^2 - AC]^{\frac{3}{2}} = |D|^{\frac{3}{2}},$$

$$(9) \quad A^2 C + AC^2 + 8B^3 - 6ABC \geq 0.$$

**Claim 0.3.**  $\frac{x|2B-C|}{Cv}$  is uniformly bounded on  $\mathbb{C}^n \times [0, T]$ .

*Proof of Claim.* The crucial observation is that  $x - \frac{x^3}{v} = O(x^3)$  and  $\frac{2x^2}{v} - 1 - \frac{1}{\sqrt{1+F'^2}} = O(x^3)$  when  $x$  small, which gives  $\frac{x|2B-C|}{Cv}$  is bounded when  $x$  small.

Indeed,  $v = x^2 + \frac{[F''(0)]^2}{4}x^4 + O(x^5)$  and  $\sqrt{1+(F')^2} = 1 + \frac{[F''(0)]^2}{2}x^2 + O(x^3)$ , then one can check that  $2\sqrt{1+(F')^2} - \frac{v}{x^2}\sqrt{1+(F')^2} - \frac{v}{x^2} = O(x^3)$  and  $1 - \frac{x^2}{v} = \frac{[F''(0)]^2}{4}x^2 + O(x^3)$ .

On the other hand,  $C \geq \frac{\delta}{v}$  for  $x$  large leads to  $\frac{x|2B-C|}{Cv}$  is bounded outside a compact set of  $\mathbb{C}^n$ . In fact,

$$(10) \quad \lim_{x \rightarrow +\infty} \frac{x|2B-C|}{Cv} = 0,$$

□

It follows from (7) that

$$(11) \quad \frac{d^- u_{min}(t)}{dt} \Big|_{t=t_1} \geq \frac{1}{\varphi^{\frac{1}{2}}} \left\{ |u|^{\frac{3}{2}} + \left[ -\frac{\varphi'}{ar_0 \varphi^{\frac{1}{2}}} C_1 - \frac{\varphi'}{ar_0^2 \varphi^{\frac{1}{2}}} C_2 + \frac{(\varphi')^2 C_3}{(ar_0)^2 \varphi^{\frac{1}{2}}} + \frac{|\varphi''|}{(ar_0)^2 \varphi^{\frac{1}{2}}} \right] u \right\}$$

where  $C_1, C_2$  and  $C_3$  are all constants depending only on the  $g(t)$  restricted to a compact subset  $\mathbb{C}^n \times [0, T]$ .

On the other hand, the choice of the point  $(z_1, x_1)$  implies  $\frac{d^- u_{min}(t)}{dt} \leq 0$ . We conclude that  $\sqrt{|u(x_1, t_1)|} \leq \frac{C_5}{ar_0} + \frac{C_6}{(ar_0)^2}$ . Therefore, we have

$$(12) \quad D(x_0, t_0) \geq u(x_1, t_1) \geq -\left[\frac{C_5}{ar_0} + \frac{C_6}{(ar_0)^2}\right]^2.$$

Now let  $a$  goes to infinity, we get  $D(z_0, t_0) \geq 0$ , which contradicts to the choice of  $(z_0, t_0)$ .  $\square$